PTO/SB/21 (08-03)

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#### Application Number 10/796,907 Filing Date **TRANSMITTAL** March 8, 2004 First Named Inventor **FORM** Svetlana GRAMATIKOVA Art Unit N/A (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number Total Number of Pages in This Submission 564462004220

ENCLOSURES (Check all that apply)								
Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group						
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences						
Amendment/Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition to Convert to a Provisional Application	Proprietary Information						
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter						
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please identify below):						
Express Abandonment Request	Request for Refund	Form 1449, 2 pages (in duplicate) 10 References						
X   Information Disclosure Statement, 3   pages	CD, Number of CD(s)	Return Postcard						
Certified Copy of Priority Document(s)		·						
Response to Missing Parts/	Remarks							
Incomplete Application	CUSTOMER NO. 25225							
Response to Missing Parts under 37 CFR 1.52 or 1.53	00010WER NO. 2022							
SIGNAT	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm MORRISON & FOR								
Firm MORRISON & FOERSTER LLP Gregory P. Einhorn - 38,440								
Signature 2 1 hulan								
Date May 25, 2004								

			e with sufficient postage as First Class Mail, in
an envelope addressed to: Co	mmissioner for Patents, P.O.	Box 1450, Alexandria, VA	22313-1450, on the date shown below.
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	Signature Kina	I form	
Dated: May 26, 2004	Signature	wines	(Rhea Amid)
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CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on May 26, 2004.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Svetlana GRAMATIKOVA et al.

Serial No.:

10/796,907

Filing Date:

March 8, 2004

For:

PHOSPHOLIPASES, NUCLEIC ACIDS

ENCODING THEM AND METHODS FO

MAKING AND USING THEM

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

The PTO did not receive the following

listed Items(s)

## SUPPLEMENTAL INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449.

In conformity with the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, documents numbered 1-8 are not submitted. However, copies of foreign documents and non-patent literature, numbered as 11-16, 19-20, 22 and 23, are submitted herewith.

Copies of documents numbered 9-10, 17-18, 21 and 24-36 cited in the attached Form PTO-1449 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 10/421,654, filed April 21, 2003, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2).

The Examiner is requested to make these documents of record in the application.

	This 1	Information Disclosure Statement is submitted:
	With	the application; accordingly, no fee or separate requirements are required.
	Befor	re the mailing of a first Office Action after the filing of a Request for Continued
	Exam	nination under § 1.114. However, if applicable, a certification under 37 C.F.R. §
	1.97(	e)(1) has been provided.
$\boxtimes$	Withi	in three months of the application filing date or before mailing of a first Office
	Actio	n on the merits; accordingly, no fee or separate requirements are required.
	Howe	ever, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Actio	n or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
		form (PTO/SB/17 is attached to this submission in duplicate.)

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 564462004220. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

2004/ May

Respectfully submitted

By:

Gregory P. Einhorn Registration No. 38,440

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San Diego, California 92130-2332

Telephone: (858) 720-5133 Facsimile: (858) 720-5125 Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 564462004220 Application Number: 10/796,907

Applicant:

Svetlana GRAMATIKOVA et al.

Filing Date: March 8, 2004

Group Art Unit: Not Yet Assigned

Mailing Date

2004 <u>کا</u>کہ May



#### **U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/1987	4,707,364	Barach et al.	426	36	
	2.	06/1988	4,752,483	Hagberg et al.	426	35	
-	3.	11/1993	5,264,367	Aalrust et al.	435	271	
_	4.	02/1994	5,288,619	Brown et al.	435	134	
	5.	07/1996	5,532,163	Yagi et al.	435	271	
	6.	12/1999	6,001,640	Loeffler et al.	435	271	
<del></del>	7.	01/2001	6,172,247	Copeland et al.	554	83	
	8.	01/2001	6,172,248	Copeland et al.	554	190	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	9.	05/1998	WO98/18912	WIPO	C12N	9/18	
	10.	10/1999	EP 0 513,709	EPO	C11B	3/00	
	11.	06/1998	WO98/26057	WIPO	C12N	9/20	
	12.	12/1999	WO99/66805	WIPO	A23L	1/23	
	13.	09/2000	WO00/54601	WIPO	A23C	19/04	
	14.	08/2003	WO03/070013	WIPO	A23C	19/032	

#### OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	15.	Birschbach, Bulletin of the IDF 269, 36-39
	16.	Brindisi et al., Journal of Food Science (2001) 66(8):1100-1107
	17.	Carter et al., Int'l Journal of Oncology (1998) 13:819-825
•	18.	Davidsen et al., Int'l Journal of Pharmaceutics (2001) 215:67-69

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 Docket Number: 564462004220 Application Number: 10			Application Number: 10/796,907			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant: Svetlana GRAMATIKOVA et al.				
a	lse several sheets if necessary)	Filing Date: March 8, 2004	Group Art Unit: Not Yet Assigned			
		Mailing Date May 26, 2004				
19.	De Felice et al., Lait (1991) 71:637-64	3 /				
20.	Fernandes et al., Marschall Italian & S	pecialty Cheese Seminars (1987	1-6 ′			
21.	Gilmore et al., Journal of Bacteriology	(1989) 744-753				
22.	M.K. Harboe, Bulletin of the IDF 294,	Chpt. 3: 11-16				
23.	Hergenrother et al., Analytical Bioche	mistry (1995) 229:313-316				
24.	Hough et al., Nature (1989) 338:357-3	60				
25.	Johansen et al., Gene (1998) 65:293-30	04				
26.	Kanfer et al., Lipids 10(7):391-394					
27.	Kotting et al., "Lipases and phospholip	pases in Organic Synthesis"				
28.	Clive Little, Methods in Enzymology (1981) 71:725-730					
29.	Little et al., FEBS Letters (1975) 52(2):175-179					
30.	Lovgren et al., Current Microbiology (1998) 37:245-250					
31.	Richard W. Titball, Microbiological Reviews (1993) 57(2):347-366					
32.	Khem Shahani, Marschall Italian & Specialty Cheese Seminars (1971) 1-13 /					
33.	Shinitzky et all, The Journal of Biological Chemistry (1993) 268(19):14109-14115					
34.	34. Taguchi et al., J. Biochem (1977) 82:1225-1230					
35. Tan et al., Protein Expression and Purification (1997) 10:365-372						
36.	Zwaal et al., Bacillus cereus, 154-161		22			

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